

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shu KOBAYASHI <i>et al.</i>)	Confirmation No.: 6807
)	
U.S. Application No.: 10/589,259)	Group Art Unit: Unassigned
)	
Filed: August 11, 2006)	Examiner: Unassigned
)	
For: ASYMMETRIC REACTION CATALYST)	
AND METHOD FOR PREPARING)	
OPTICALLY ACTIVE COMPOUND USING)	
THE SAME)	

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. § 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. This replies to the Notification of Missing Requirements (Form PCT/DO/EO/905) mailed April 10, 2007.

A copy of the Notification of Missing Requirements (Form PCT/DO/EO/905) is enclosed.

2. Declaration Or Oath

- ☐ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

- ☒ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.
- ☐ Also enclosed is the Verification of Translation Document

4. Small Entity Statement(s)

- ☐ Applicant(s) hereby assert small entity status.

5. Fee Calculation

			Basic National Stage Fee:	
			\$300.00	Previously Paid
	Number Filed	Number Extra	at a Rate of	
Total Claims	20 -20 =	0	\$50.00 each=	Previously Paid
Independent Claims	1 - 3 =	0	\$200.00 each=	Previously Paid
Multiple dependent claim(s), if any			\$360.00	Previously Paid
Missing Requirements Surcharge Fee			\$130.00	\$130.00
Application Size Fee \$250.00 for each additional 50 sheets that exceeds 100				\$0.00
Application Search Fee			\$400.00	Previously Paid
Examination Fee			\$200.00	Previously Paid
			SUB-TOTAL =	\$130.00
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(k) and § 1.52(d))			\$130.00	\$0.00
Fee For Processing and retention of application (37 C.F.R. § 1.21(l) and § 1.53(d))			\$130.00	\$0.00
			TOTAL FILING FEE =	\$130.00

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. §§ 1.17(a)-(d), for the total number of months checked below:

	<u>Total Months Requested</u>	<u>Extension Fee</u>	<u>Extension Fee [Small Entity]</u>
<input type="checkbox"/>	One month	\$120.00	\$60.00
<input type="checkbox"/>	Two months	\$450.00	\$225.00
<input type="checkbox"/>	Three months	\$1,020.00	\$510.00
<input type="checkbox"/>	Four months	\$1,590.00	\$795.00
<input type="checkbox"/>	Five months	\$2,160.00	\$1,080.00

Extension of time fee due with this request: \$0.00

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. 05/25/2007 GFREY1 00000056 10589259 01 FC:1617 130.00 op

7. Fee Payment

The total fee due is:

Completion Fees	<u>\$130.00</u>
Assignment Fees	<u>\$0.00</u>
Total Fee Due	<u>\$130.00</u>

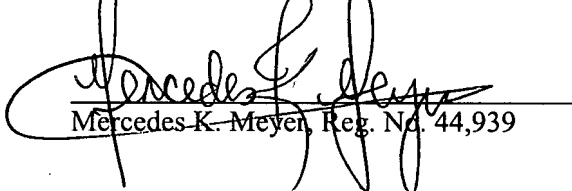
- ☒ A check in the amount of \$130.00 to cover the above fees is enclosed
- ☐ The Commissioner is hereby authorized to charge the total fee due to Deposit Account 50-0573.
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0573.

8. Additional papers enclosed.

- ☐ PCT/IB/338 English translation of the International Preliminary Examination Report
- ☐ PCT/IB/373
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ Supplementary European Search Report (dated March 27, 2007)
- ☒ Form PTO-1449, 8 non-US patent documents as listed
- ☐ Assignment Recordation Cover Sheet (PTO Form-1595)
- ☐ Assignment of the invention to _____

Respectfully submitted,

DRINKER BIDDLE & REATH LLP


Mercedes K. Meyer, Reg. No. 44,939

Date: May 23, 2007

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UNITED STATES PATENT AND TRADEMARK OFFICE

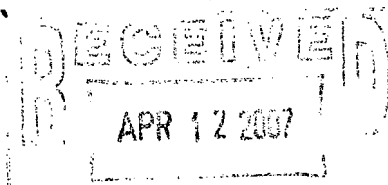
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United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/589,259	Shu Kobayashi	47232-5012

INTERNATIONAL APPLICATION NO.	
PCT/JP05/04077	
I.A. FILING DATE	PRIORITY DATE
03/09/2005	03/09/2004

55694
DRINKER BIDDLE & REATH (DC)
1500 K STREET, N.W.
SUITE 1100
WASHINGTON, DC 20005-1209



CONFIRMATION NO. 6807

371 FORMALITIES LETTER



OC000000023295760

Date Mailed: 04/10/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/11/2006
- Copy of the International Search Report filed on 08/11/2006
- Information Disclosure Statements filed on 08/11/2006
- Oath or Declaration filed on 08/11/2006
- U.S. Basic National Fees filed on 08/11/2006
- Assignment filed on 08/11/2006
- Priority Documents filed on 08/11/2006
- Specification filed on 08/11/2006
- Claims filed on 08/11/2006
- Abstracts filed on 08/11/2006
- Drawings filed on 08/11/2006

4/12/07
Docketed Attorney RLH/mkm
Case 47232-5012
Due Date 6-10-07
Action MISS. REQUIREMENT
By [Signature] Chk _____

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. The current translation of the application into English is defective as described below. Note a processing fee will be required if submitted later than 30 months from the priority date.
 - The number of claims in the International Application and the number of claims in the translation are not the same.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(ii)).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/589,259	PCT/JP05/04077	47232-5012